

## **BUSINESS CONTINUITY PLAN DISCLOSURE**

Pyramid Funds Corporation's objective for business continuity in the face of both internal and external significant business disruptions (SBDs) are described as follows:

- 1) Internal SBDs affect only our firm's ability to communicate and do business, such as a fire in our building.
- 2) External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a city flood, or a wide-scale, regional disruption.

Our firm's policy is to respond to a Significant Business Disruption (SBD) by safeguarding employee's lives and firm property, quickly recovering and resuming operations, protecting all of the firm's books and records, and allowing our customers to transact business.

Our firm conducts business with Mutual Fund Companies and Insurance Companies. All transactions are sent directly to the mutual fund company or insurance company, which maintains full history of a customer's account, can grant customers access to them, and processes request for purchases and withdrawals/sales of mutual fund or variable annuity orders, with verbal or written requests from the customer directly or the broker/dealer, Pyramid Funds Corporation.

Pyramid Funds Corporation has the primary responsibility for establishing and maintaining our business relationships with our customers. However, the maintenance of customer accounts, access to customer accounts, and the delivery of funds and securities is also available directly through the mutual fund or insurance companies. In the event of a disruption, customer communications can be done in writing directly to the company or calling the company, phone numbers and addresses are on quarterly investment statements. This information can also be obtained from our web site, [Pyramidfunds.com](http://Pyramidfunds.com), under the link section.

In the event of a firm-only business disruption, our recovery time would be no more than 24 hours. Back-up systems are in-place, all personnel maintain personal computers at their homes, Internet Service would have no disruption and a temporary office would be started up at the President's residence. A forwarding phone number would be placed on our company lines so that we can handle in-coming phone calls.

In the event of a regional disruption, Pyramid Funds Corporation will continue to do business and do its best to have a reasonable recovery time. In the interim, customers can have prompt access to their funds and securities by contacting the fund and insurance companies directly, as stated above.

This plan is reviewed annually subject to modification and an updated summary will be promptly posted on our Web site for viewing at [Pyramidfunds.com](http://Pyramidfunds.com). Customers can also obtain an updated summary by requesting a written copy by mail.